

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re: Patent Application of  
BRENNER ET AL.

Serial No.: 08/526,007

Filed: September 8, 1995

Allowed: June 11, 1996

For: INTERACTIVE WAGERING SYSTEMS  
AND PROCESSES

Docket No.: 4023/001

Examiner: G. Manuel

Group Art Unit: 3305

Batch No.: 006

CERTIFICATE OF MAILING (37 CFR 1.8)

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service as First Class mail on the date shown below in an envelope addressed to: Box Issue Fee, Assistant Commissioner for Patents, Washington, DC 20231.

22 July 1997  
Date

Mark A. Guetlich  
Mark A. Guetlich

TRANSMITTAL LETTER

Box Issue Fee  
Assistant Commissioner  
for Patents  
Washington, DC 20231

RECEIVED  
Publication Division

JUL 28 1997

03

Dear Sir:

Enclosed for filing in the above referenced **allowed** patent application is a Verified Statement Claiming Small Entity Status.

It is believed that no fees are due in this matter; however, if a fee is required the Commissioner is authorized to charge such fee to Deposit Account 04-1697.

Sincerely,

DUFT, GRAZIANO & FOREST, P.C.

Date: 22 July 1997

By: Mark A. Guetlich

Mark A. Guetlich #38,900  
1790 - 30th Street, Suite 140  
Boulder, CO 80301  
(303) 449-9497

**VERIFIED STATEMENT CLAIMING SMALL ENTITY STATUS  
(37 CFR 1.9(f) & 1.27(c)) -- SMALL BUSINESS CONCERN**

Docket Number: 4023/001

Applicant or Patentee: Brenner et al.

Serial or Patent Number: 08/526,007

Filed or Issued: Filed (Allowed)

Title: INTERACTIVE WAGERING SYSTEMS AND PROCESSES

I hereby declare that I am

    the owner of the small business concern identified below:

☒ an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF SMALL BUSINESS CONCERN ODS Technologies, L. P.

ADDRESS 7140 South Lewis Avenue, Tulsa, Oklahoma 74136

JUL 28 1997

I hereby declare that the above-identified small business concern qualifies as a small business concern as defined in 13 CFR 121.12, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees to the United States Patent and Trademark Office, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that the rights under the contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention described in:

    the specification filed herewith with title as listed above.

☒ the application identified above.

    the patent identified above.

If the rights held by the above-identified small business concern are not exclusive, each individual, concern or organization having rights in the invention must file separate verified statements averring to their status as small entities, and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d), or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern or organization having any rights in the invention is listed below:

☒ No such person, concern or organization exists.

    Each such person, concern or organization is listed below:

FULL NAME: \_\_\_\_\_

ADDRESS: \_\_\_\_\_

☐ INDIVIDUAL

☐ SMALL BUS. CONCERN

☐ PROFIT ORGANIZATION

Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b)).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING Mark A. Brenner

TITLE OF PERSON IF OTHER THAN OWNER President

ADDRESS OF PERSON SIGNING ODS Technologies, L.P., 360 Interlocken, 2nd Floor, Broomfield, CO 80021-3440

SIGNATURE Mark A. Brenner

DATE 7/11/97